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A

TRANSMITTAL OF A PATENT APPLICATION

DOCKET NO. 5055.21

I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail postage and an Express Mail Label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below date of deposit:

Express Mail Label No:	EL566556225US	Name of Person Making the Deposit:	Gloria Gruetzmacher
Date of Deposit:	9/29/00	Signature of the Person Making the Deposit	<i>Gloria Gruetzmacher</i>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Tod M. Grimm

TITLE: DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATE

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

TRANSMITTAL OF A PATENT APPLICATION  
(UNDER 37 CFR § 1.53)

Transmitted herewith is the above identified patent application including:

- ☒ Specification, claims and abstract, totaling 23 pages.
- ☒ Formal drawings, totaling 8 pages.
- ☐ Informal drawings, totaling \_\_\_\_\_ pages.
- ☐ Information Disclosure Statement.
- ☒ Declaration and Power of Attorney.
- ☒ Assignment(s) to Tech Mold, Inc.
- ☒ A verified statement to establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Other: \_\_\_\_\_

FEE CALCULATION (for a small entity)

Fee Items	Claims Filed	Included w/basic fee	Extra Claims	Fee Rate	Total
Total Claims	16	+20	-0-	x \$09.	-0-
Independent Claims	1	+03	-0-	x \$39.	-0-
Mult. Dependent Claim Fee (one or more)				x \$130.	
Assignment Recording Fee				\$40	40.
Basic Filing Fee				\$345.	345.
TOTAL FEES					385.

☒ A check in the amount of \$ 385. to cover the filing fee and ☒ assignment is enclosed

Date: 9/29/00  
Law Offices of LaValle D. Ptak  
4420 N. Saddlebag Trail, #102  
Scottsdale, Arizona 85251  
(602) 994-1003

*LaValle D. Ptak*  
LaValle D. Ptak  
Registration No. 19,877

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Attorney's Docket No. 5055.21 **PATENT**

Applicant or Patentee: Tod M. Grimm

Application or Patent No.: /

Filed or Issued: DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATE

For:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATE

described in

- ☒ the specification filed herewith.  
☐ application no. /, filed .  
☐ patent no. , issued .

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization.  
☒ persons, concerns or organizations listed below \*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Tech Mold, Inc.

ADDRESS 1735 W. 10th St., Tempe, Arizona 85281

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Tod M. Grimm

Name of inventor



Date 9/21/00

Signature of Inventor

Name of inventor

Date

Signature of Inventor

Name of inventor

Date

Signature of Inventor